IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF NEW MEXICO

PING LU, JILL MCKEON, RICHARD MCKEON, STEPHEN SPENCER, SPENCER STOPA, and JUDY C. WINNEGAR,

Plaintiffs,

VS.

No. CIV 14-0618 JB/LAM

OPPENHEIMERFUNDS, INC; OFI PRIVATE INVESTMENTS, INC.; and OPPENHEIMERFUNDS DISTRIBUTOR, INC.,

Defendants.

ORDER¹

THIS MATTER comes before the Court on OFI's Motion to Dismiss the First Amended Complaint, filed July 21, 2014 (Doc. 21)("Motion"). The Court held a hearing on February 6, 2015. The Court will grant the Motion.

IT IS ORDERED that OFI's Motion to Dismiss the First Amended Complaint, filed July 21, 2014 (Doc. 21), is granted.

UNITED STATES DISTRICT JUDGE

¹This Order disposes OFI's Motion to Dismiss the First Amended Complaint, filed July 21, 2014 (Doc. 21). The Court will, however, at a later date issue a memorandum opinion more fully detailing its rationale for this decision.

Counsel:

John C. Bienvenu Kristina Martinez The Rothstein Law Firm Santa Fe, New Mexico

--and---

Amy Williams-Derry Benjamin Gould T. David Copley Keller Rohrback, LLP Seattle, Washington

Attorneys for the Plaintiffs

Paul M. Fish Kevin D. Pierce Modrall, Sperling, Roehl, Harris & Sisk, P.A. Albuquerque, New Mexico

--and--

Joshua D.N. Hess Mark P. DiPerna Dechert LLP San Francisco, California

Attorneys for the Defendants